



VIENNA
UNIVERSITY OF
TECHNOLOGY

INSTITUTE OF
PHOTOGRAMMETRY
AND REMOTE SENSING



Analysis of laser scanning point clouds acquired over ChangeHabitats2 areas

Werner Mücke & Norbert Pfeifer

{wm,np}@ipf.tuwien.ac.at

Institute of Photogrammetry and Remote Sensing (I.P.F.)

Vienna University of Technology

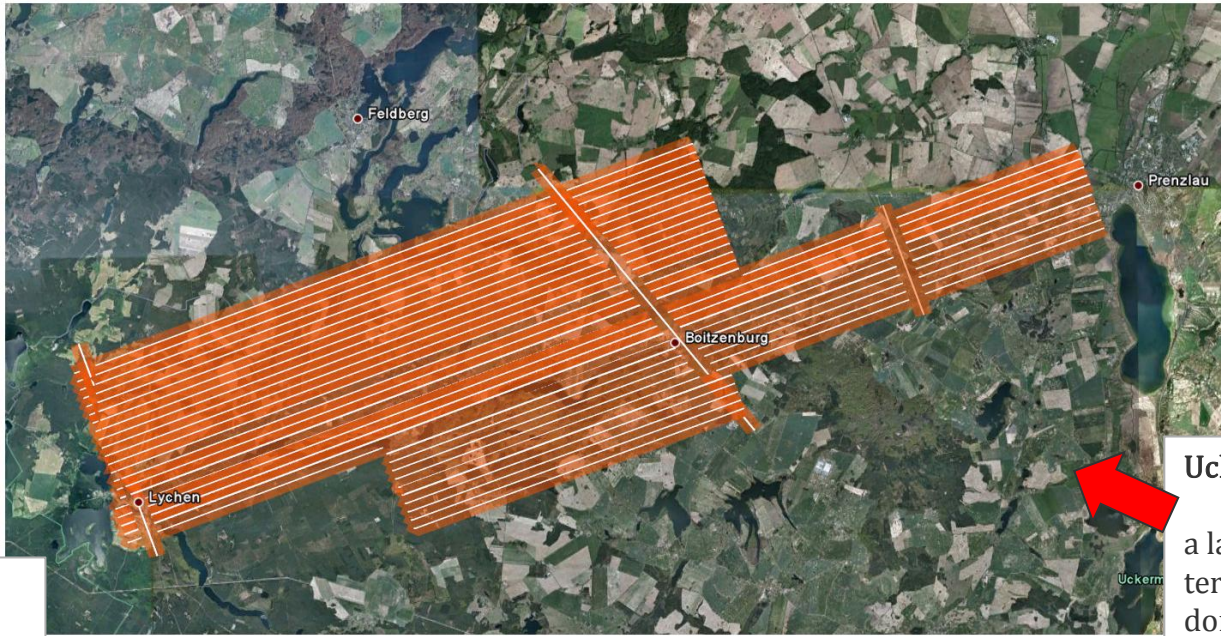
www.ipf.tuwien.ac.at



Contents

- ALS flights Uckermark and Sopron
 - Overview maps
 - Flight parameters
 - Quality checking results
- Digital height models
 - Digital surface model, digital terrain model
 - Vegetation mask
- Analysis of the point cloud with respect to selected FFH relevant parameters
 - Layer structure
 - Age classes
 - Dead wood

Overview of the ALS flights



Uckermark / Germany:

a lake and forest territory north of Berlin dominated by beech forests (i.e. the respective FFH habitat types)

Sopron / Hungary:

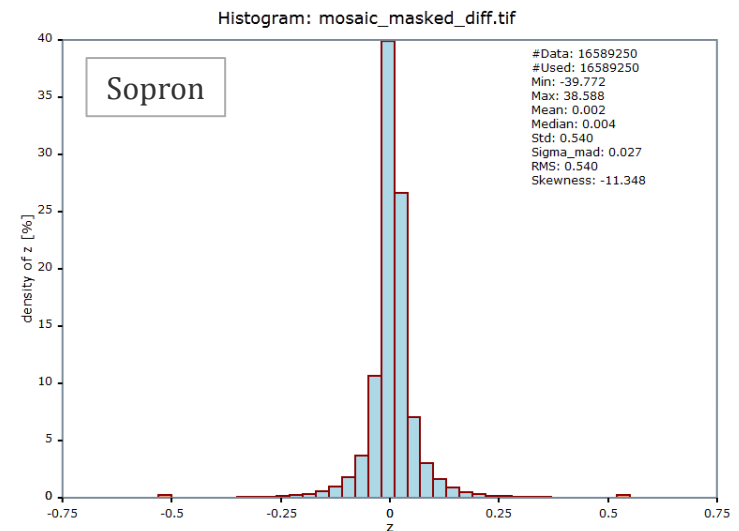
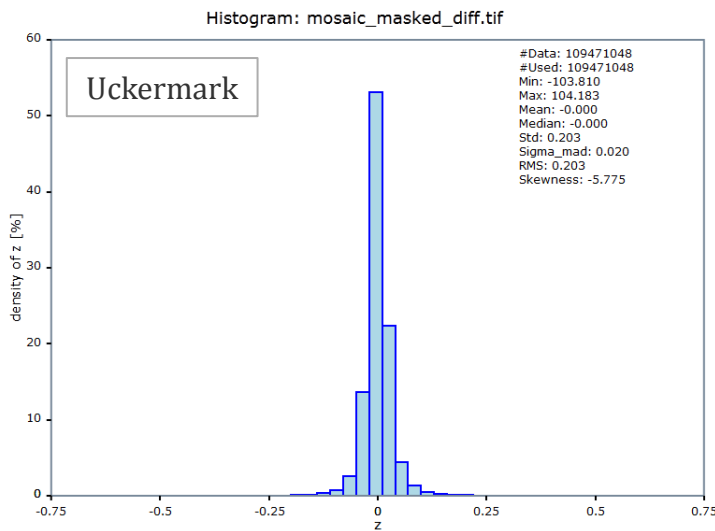
A hilly and forested area



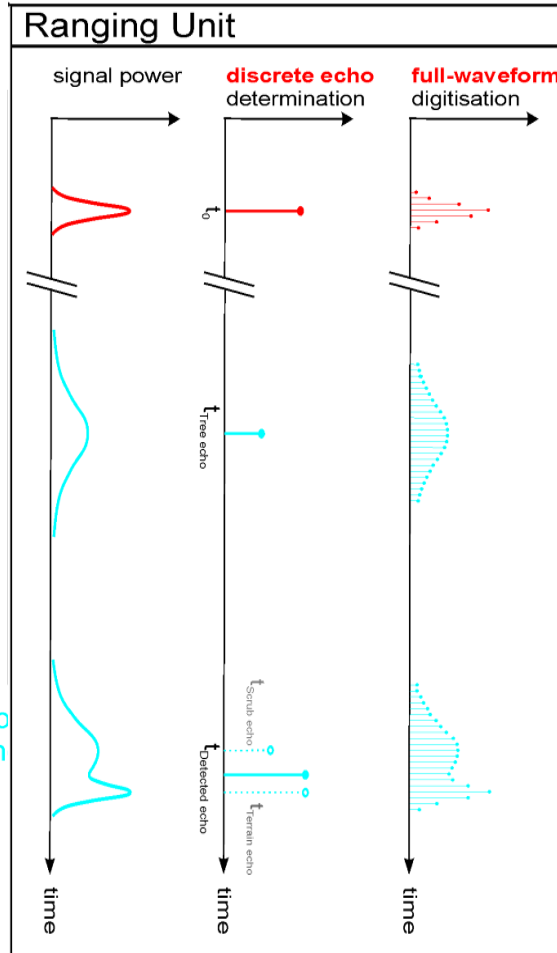
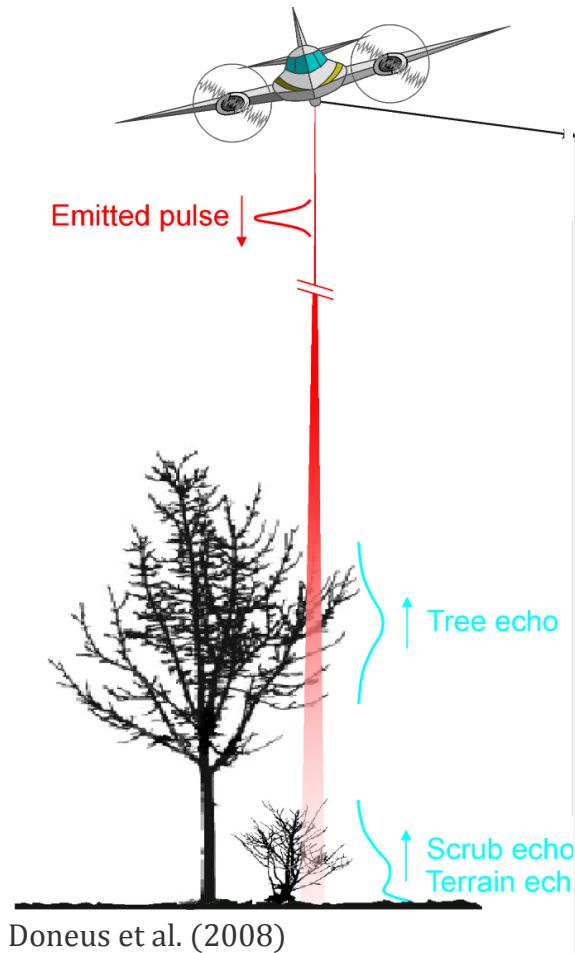
Overview of the ALS flights

	Uckermark / Germany	Sopron / Hungary
Sensor:	RIEGL LMS-Q680 (full-waveform)	RIEGL LMS-Q680 (full-waveform)
Acquisition time:	May 2011 (leaf-on)	July 2011 (leaf-on)
Number of ALS strips:	35	22
Area covered (approx.):	290 km ²	90 km ²
Mean point density (last echoes)	21.9 pts / m ²	12.8 pts / m ²

Relative accuracy (strip differences):



Full-waveform laser scanning

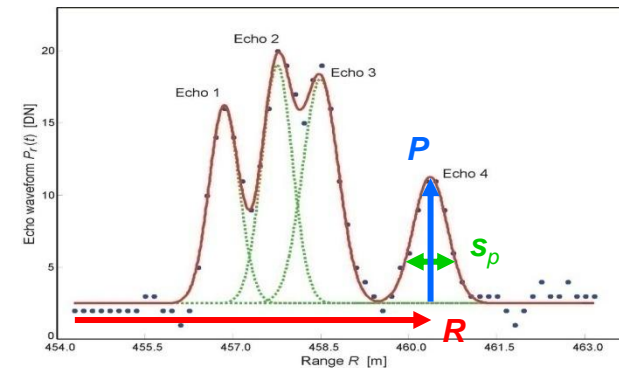


Gaussian decomposition

➤ Detection of echoes by fitting of Gaussians

➤ Information per echo:

- Range R [m]
- Echo width s_p [ns]
- Amplitude (Intensity) P [DN]
- Backscatter cross-section (computed)



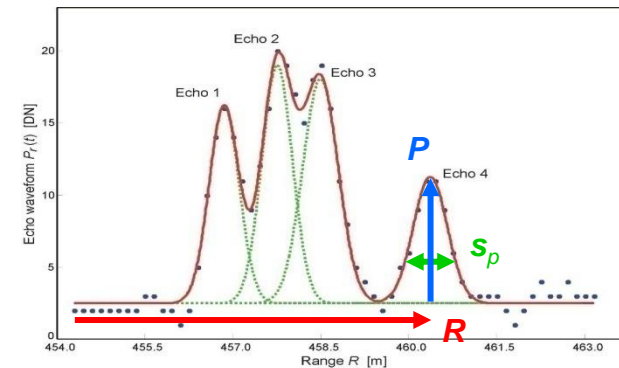
Full-waveform laser scanning

- Magnitude of local maximum → **amplitude**
 - Radiometric properties of the surface
- Width of curve → **echo width**
 - Height variations of small scattering elements within the surface of the laser beam



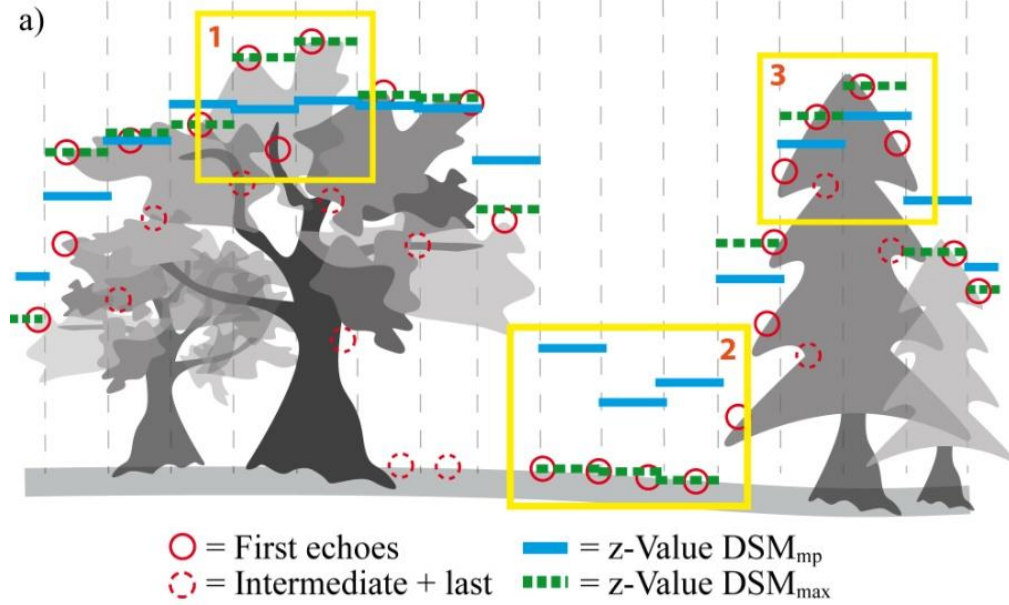
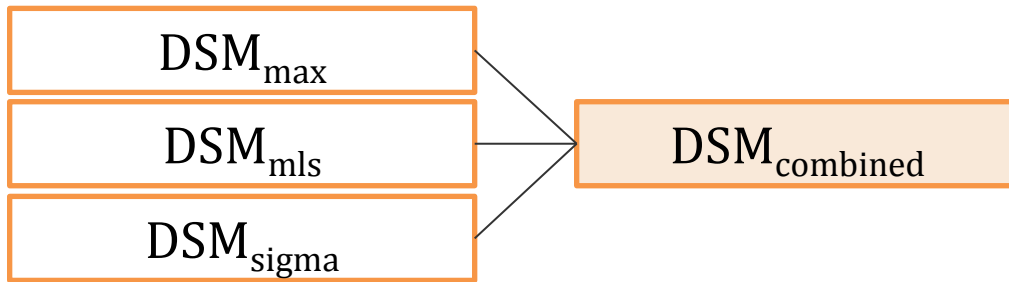
Gaussian decomposition

- Detection of echoes by fitting of Gaussians
- Information per echo:
 - **Range R [m]**
 - **Echo width s_p [ns]**
 - **Amplitude (Intensity) P [DN]**
 - Backscatter cross-section (computed)

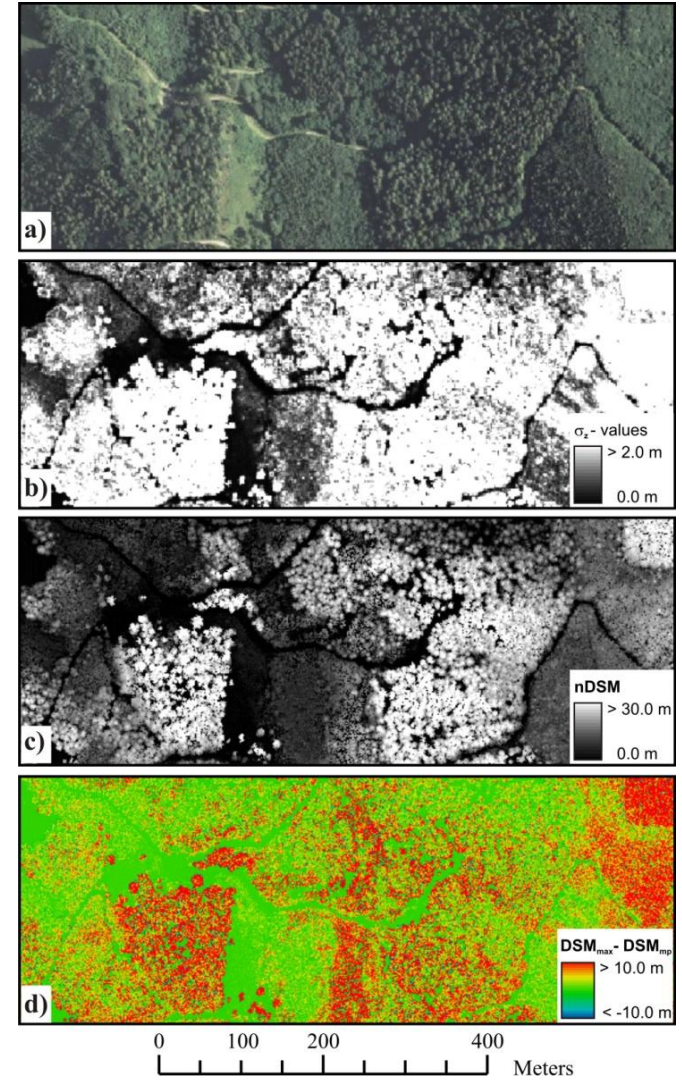


Wagner et al. (2006)

Digital surface model (DSM)

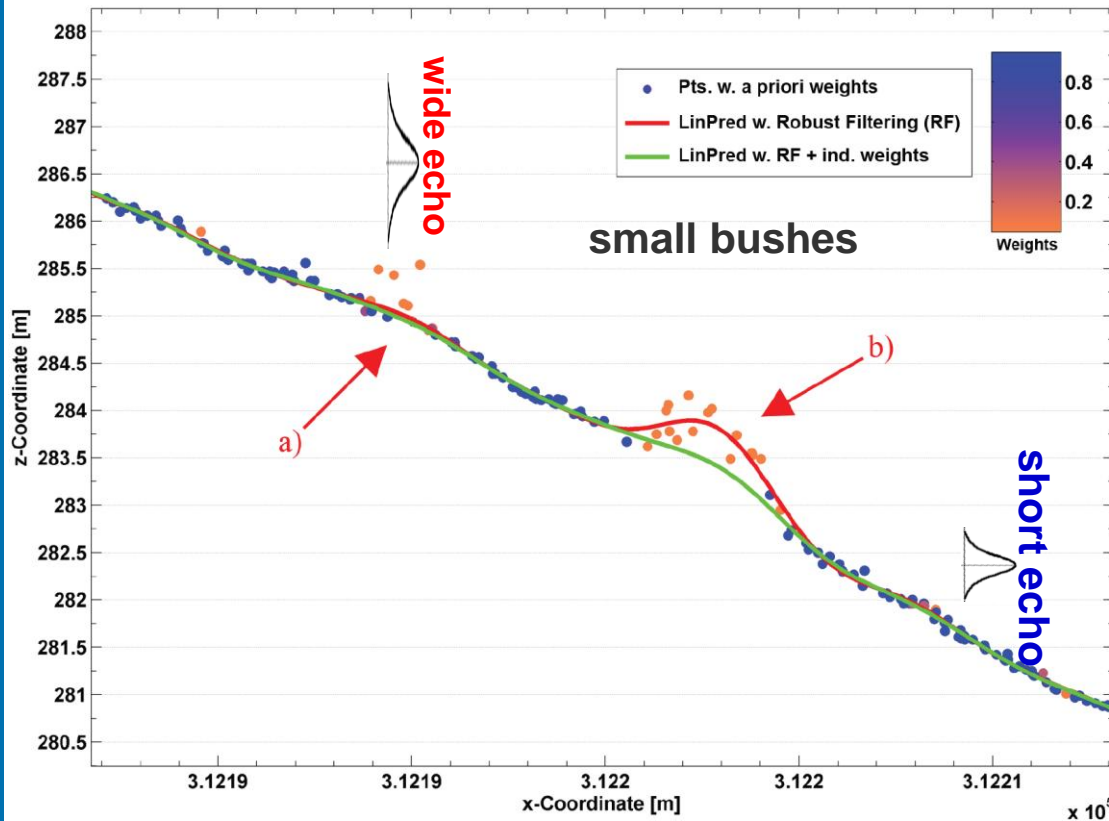


Hollaus et al. (2010)

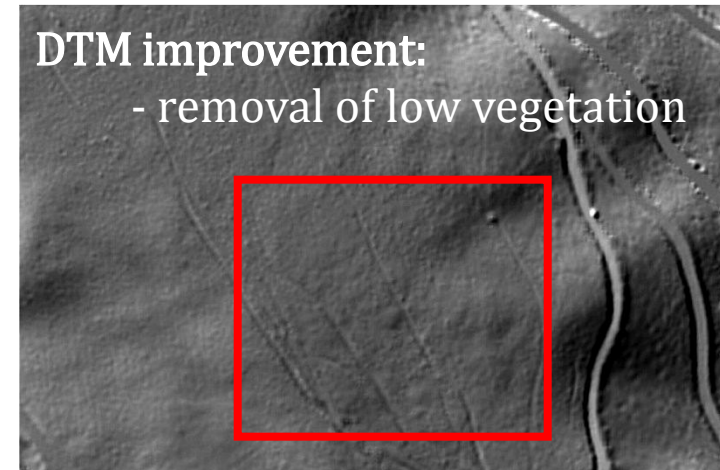
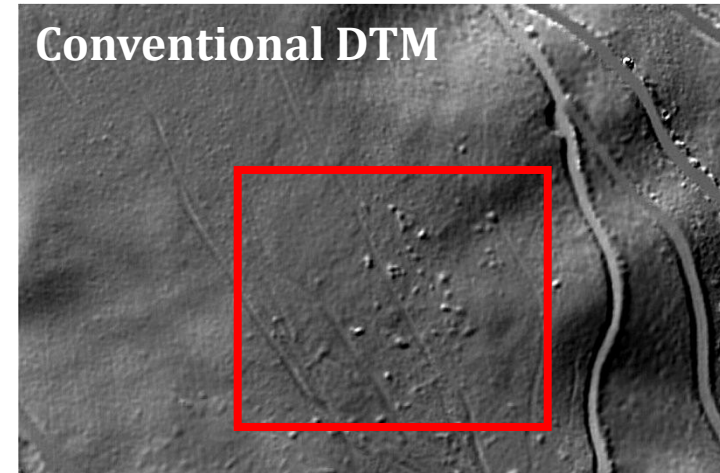


Digital terrain model (DTM)

Waveform-based DTM improvements

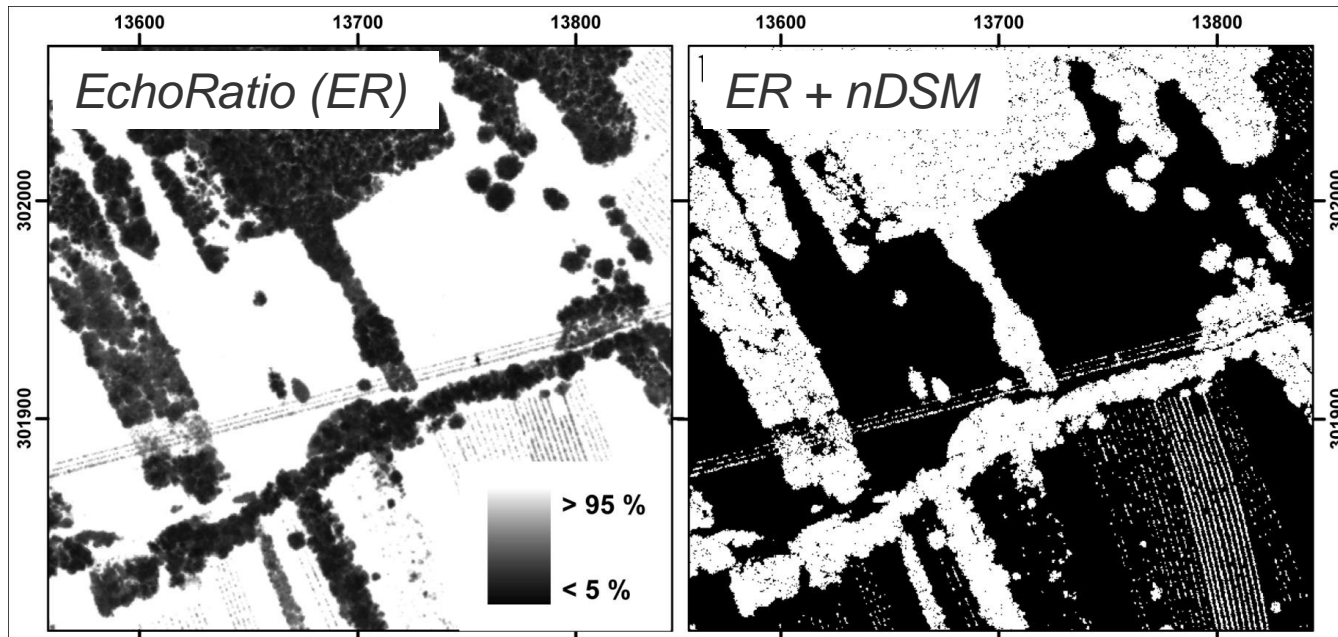


Mücke (2008)



Vegetation mask

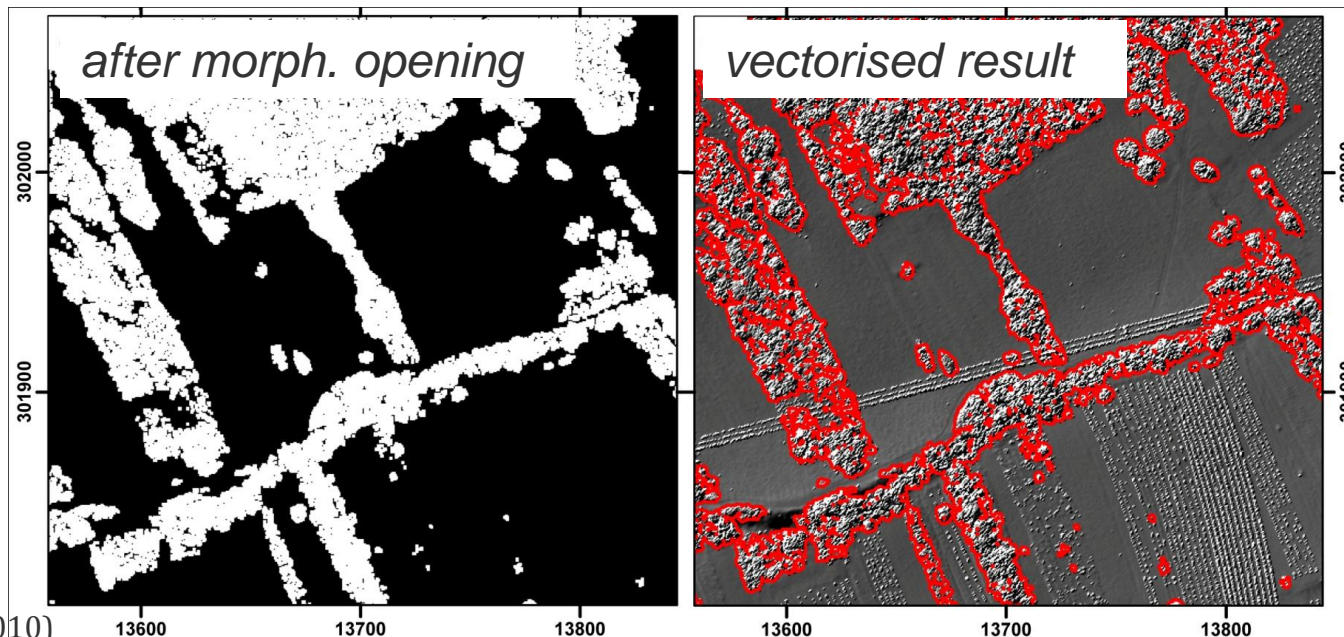
- **Echo ratio (ER):** measure for local transparency and roughness (height variation) (Höfle et al. [2009])
 - Buildings are not penetrable: $ER = 100\%$
 - Vegetation is (in most cases) penetrable: $ER < 100\%$
- Used to create a vegetation mask ($ER + \text{normalized DSM} = \text{DSM} - \text{DTM}$)



Mücke et al. (2010)

Vegetation mask

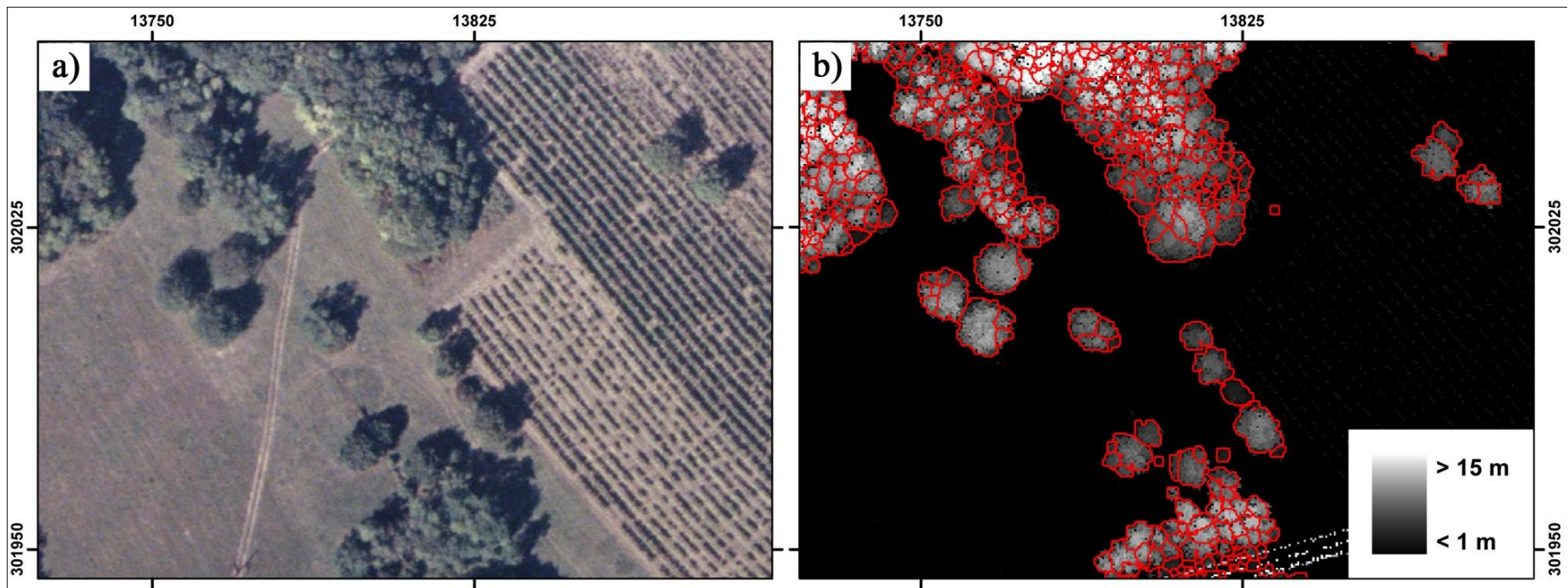
- *Echo ratio (ER)*: measure for local transparency and roughness (height variation) (Höfle et al. [2009])
 - ➔ Buildings are not penetrable: $ER = 100\%$
 - ➔ Vegetation is (in most cases) penetrable: $ER < 100\%$
- Used to create a vegetation mask ($ER + \text{normalized DSM} = \text{DSM} - \text{DTM}$)



Mücke et al. (2010)

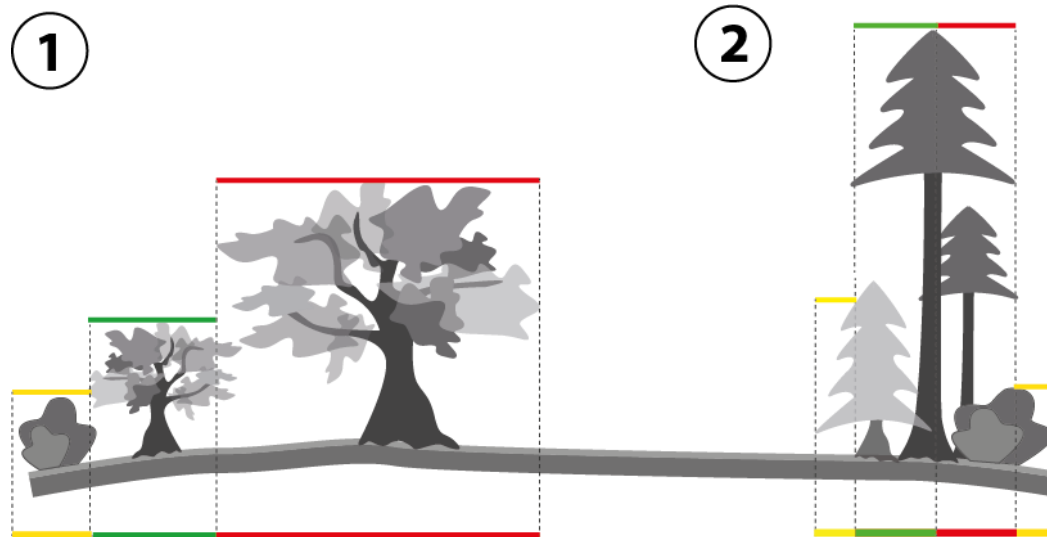
Single tree segmentation

- Aim:
 - use as a spatially well represented reference unit
 - meant to extract features like shrubs, single tree crowns or sub-tree crowns
- Delineation of convex objects in *nDSM*
- Constraint:
 - minimum curvatures



Selected FFH relevant parameters: Layer structure

- Definition of „vegetation layers“ in a multi layered forest



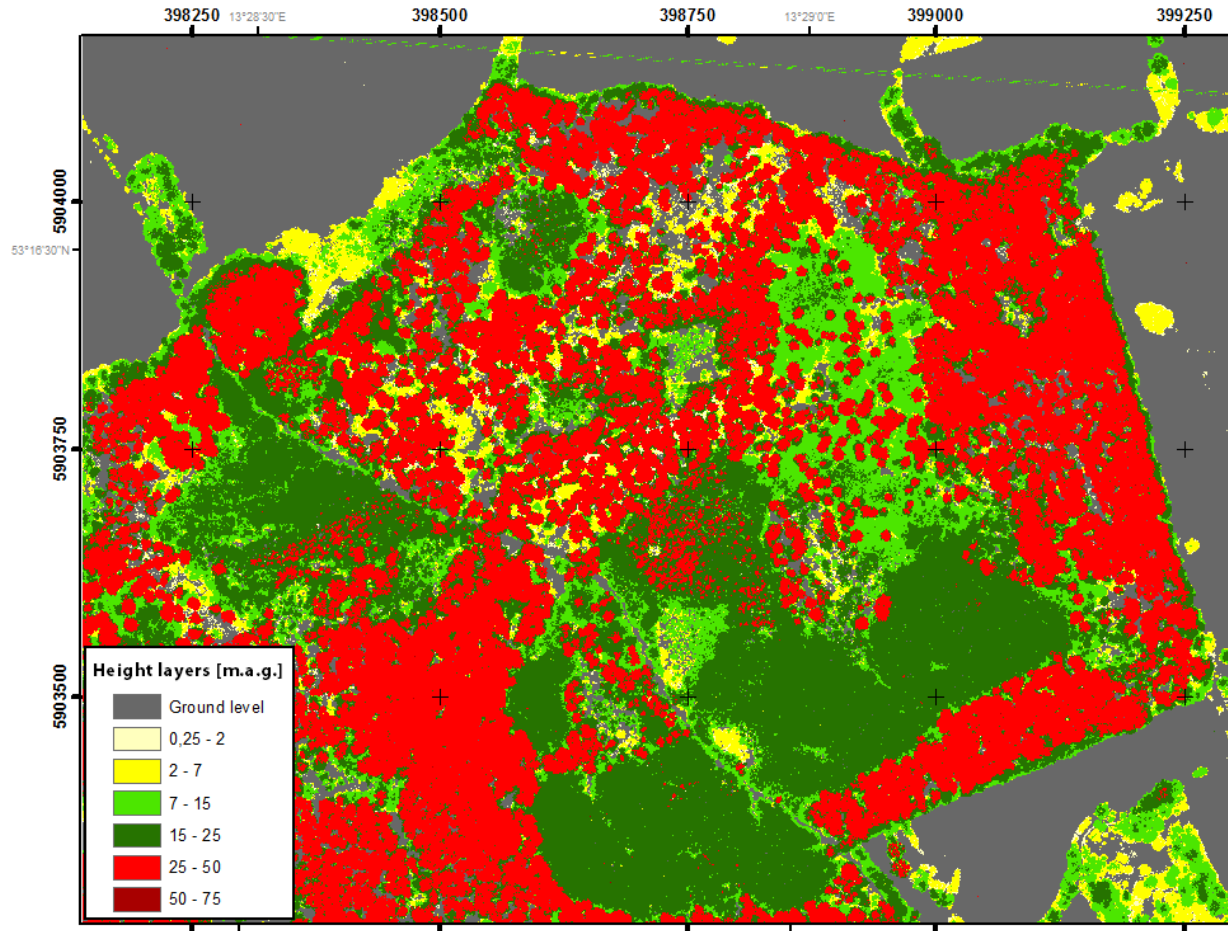
1. Stand consisting of clearly distinguished trees of different height
 - (Probably) also classifiable with airborne imagery
2. Stand with trees covering and growing into each other
 - Only classifiable with ALS due to penetration of the top most canopy

Selected FFH relevant parameters: Layer structure

Testsite:

Uckermark /

Ungeteilte Heide



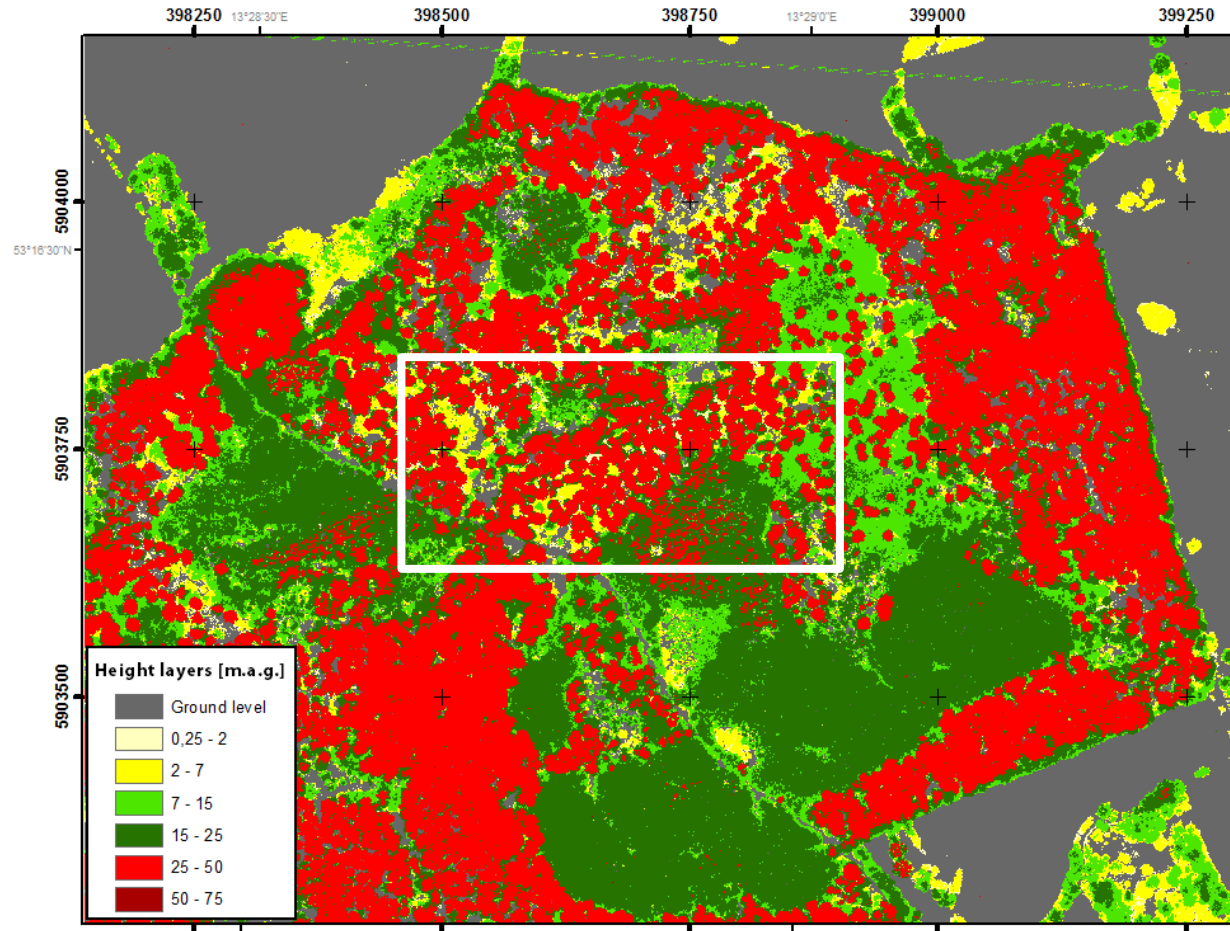
nDSM based determination of forest height layers

Selected FFH relevant parameters: Layer structure

Testsite:

Uckermark /

Ungeteilte Heide



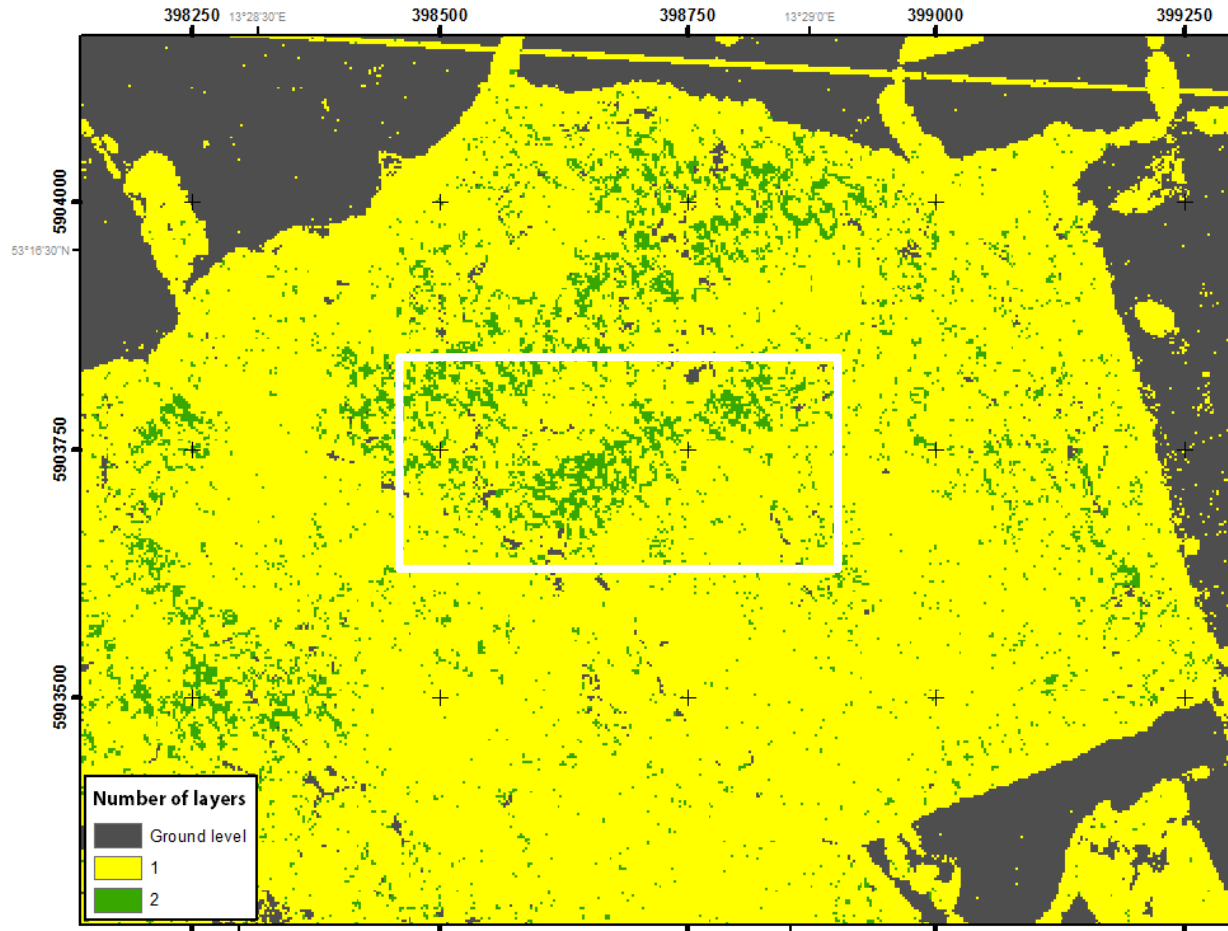
nDSM based determination of forest height layers

Selected FFH relevant parameters: Layer structure

Testsite:

Uckermark /

Ungeteilte Heide



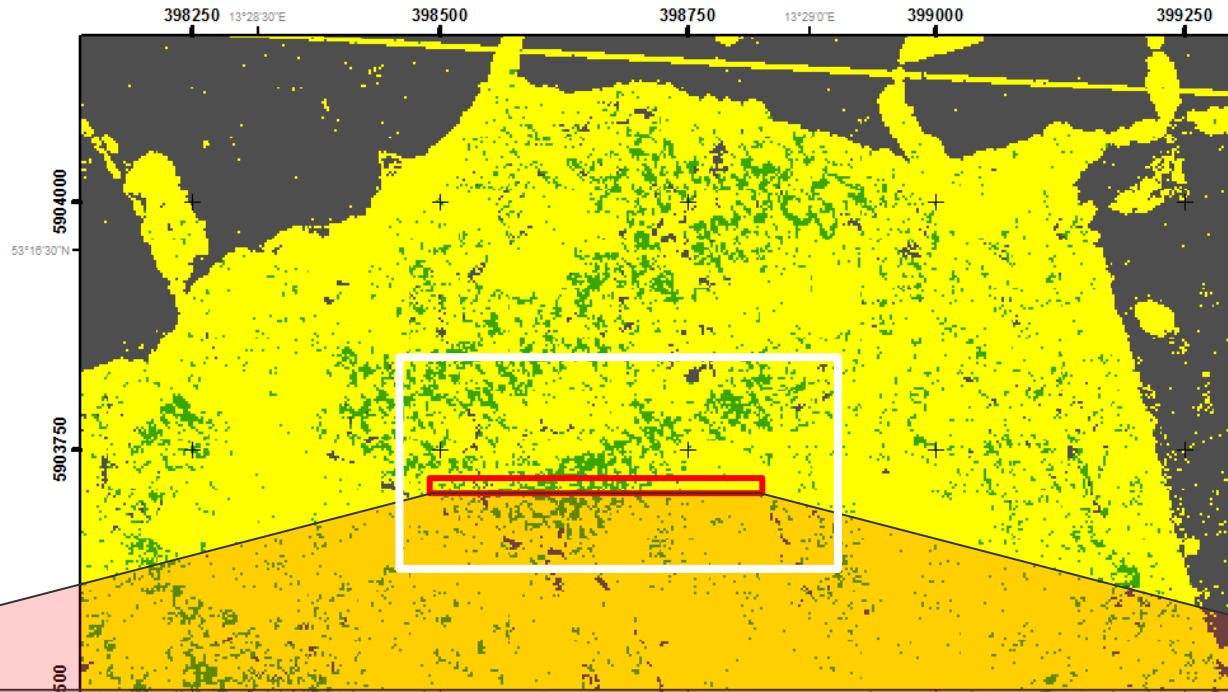
Point cloud based estimation of occluded vegetation layers

Selected FFH relevant parameters: Layer structure

Testsite:

Uckermark /

Ungeteilte Heide



Multi-layered (shrub, ~5m and high trees ~ 30m)

Selected FFH relevant parameters: Age classes

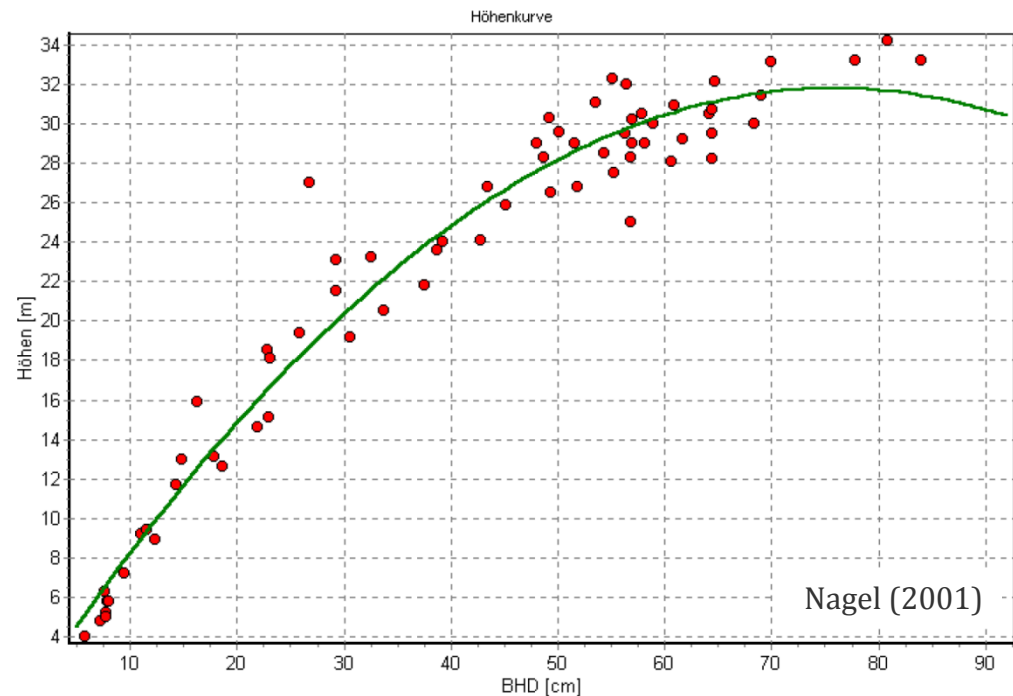
- Tree age classes are indirectly described via tree diameter (FFH-mapping instructions Brandenburg, 2010)
- Functional relationship between tree height and stem diameter
→ stand height curves

$$h = a_0 + a_1 \cdot d + a_2 \cdot d^2$$

$$h = a_0 + a_1 \cdot \ln(d)$$

with a_i = regression coefficients

- Derive diameters from ALS height measurements (i.e. local maxima = tree positions)



Selected FFH relevant parameters: Dead wood

1. Fallen dead trees (or very coarse woody debris)
2. Standing dead trees (with or without crown branches)



Fallen dead trees

Testsite:

Uckermark /

Ungeteilte Heide

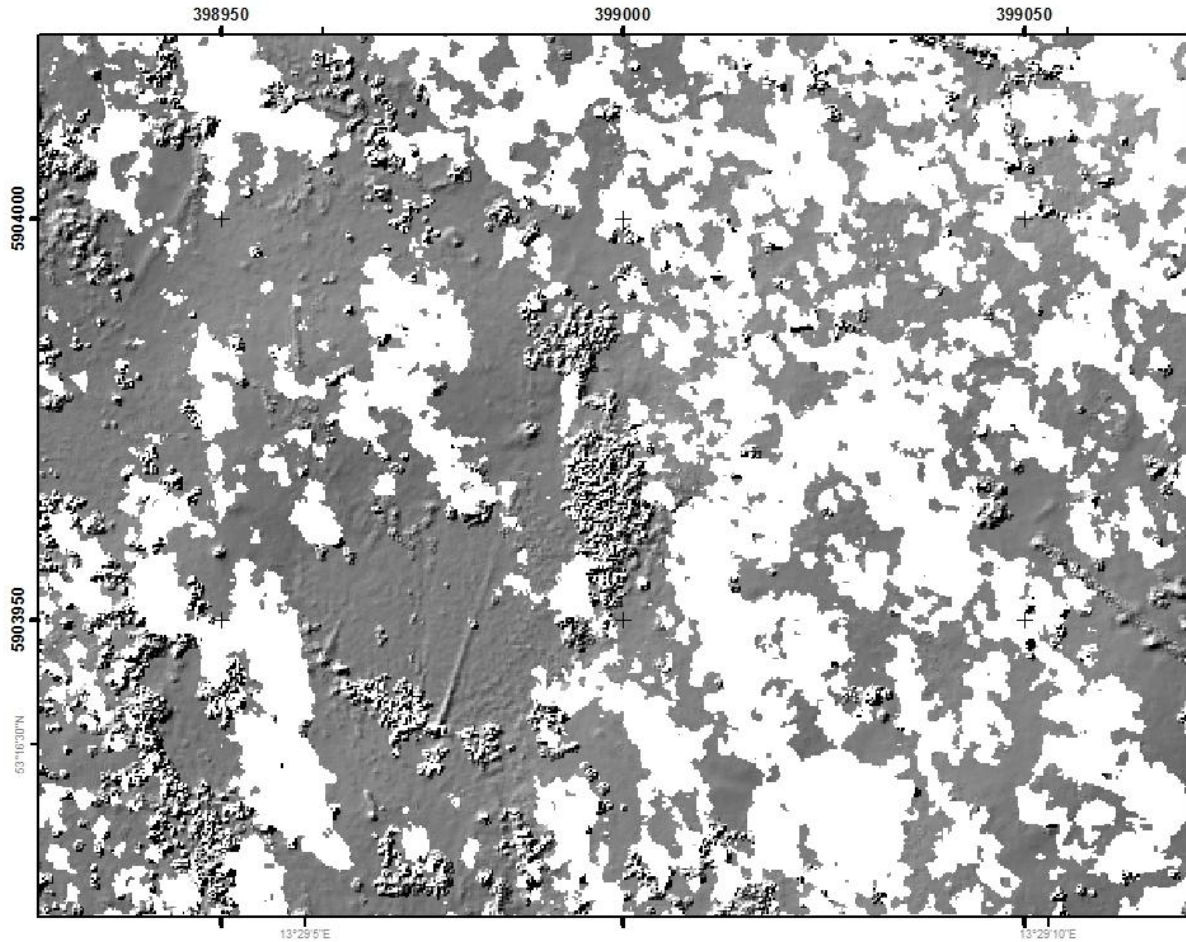
DSM created from

Leaf-on

data and from

all echoes where

dZ_DTM <= 2m



Fallen dead trees

Testsite:

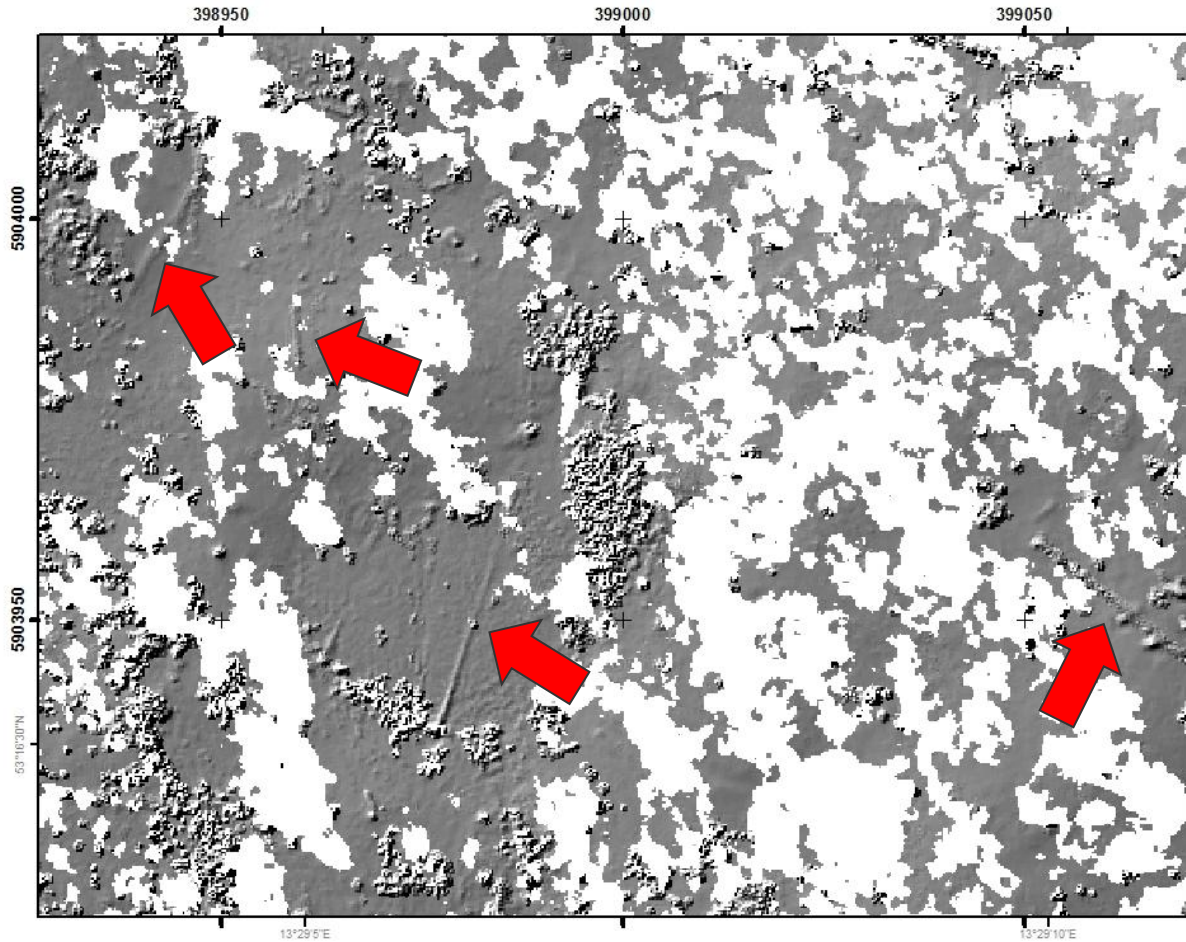
Uckermark /
Ungeteilte Heide

DSM created from

Leaf-on

data and from
all echoes where

$dZ_{DTM} \leq 2m$



Fallen dead trees

Testsite:

Uckermark /
Ungeteilte Heide

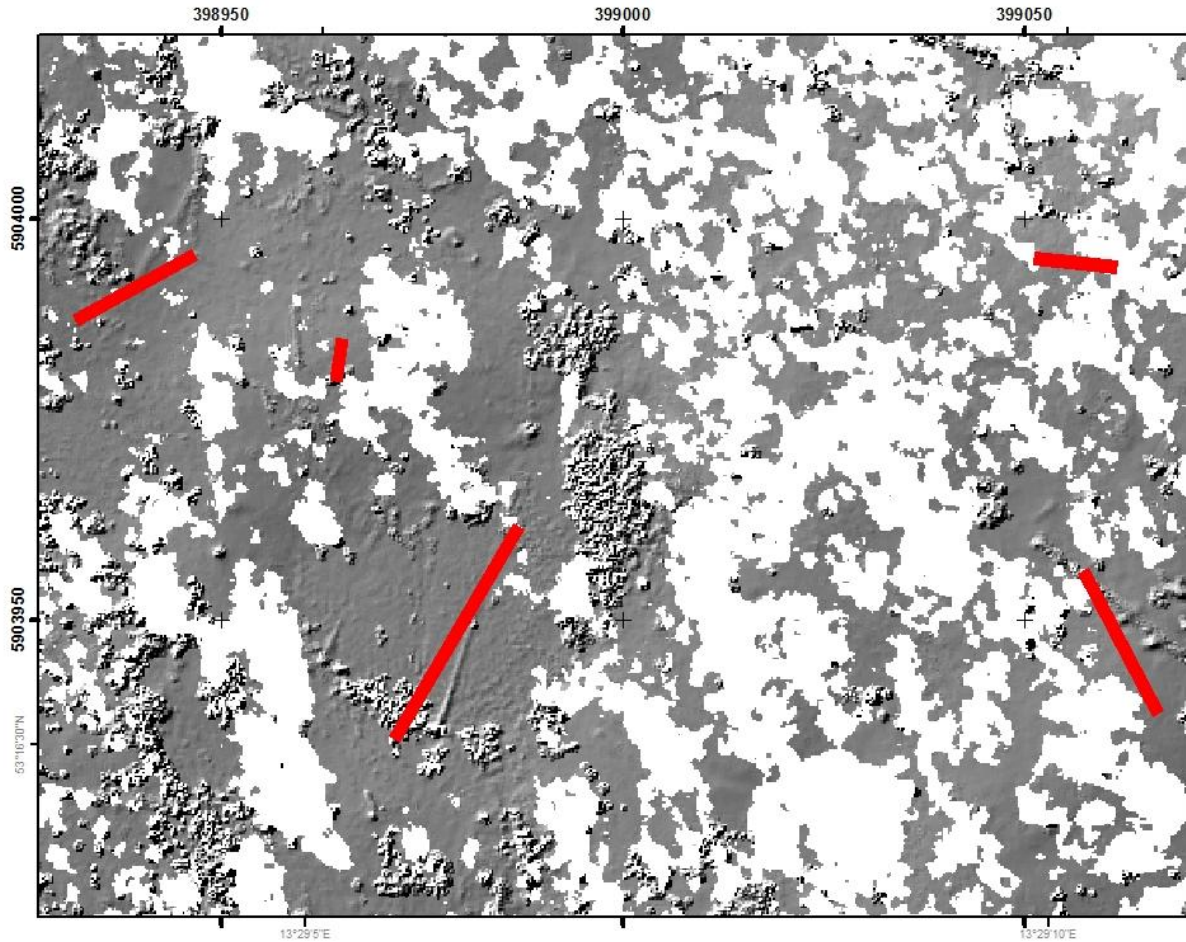
DSM created from

Leaf-on

data and from

all echoes where

$dZ_{DTM} \leq 2m$



 GPS measured fallen trees (diameter > 30 cm)

Fallen dead trees

Testsite:

Uckermark /

Ungeteilte Heide

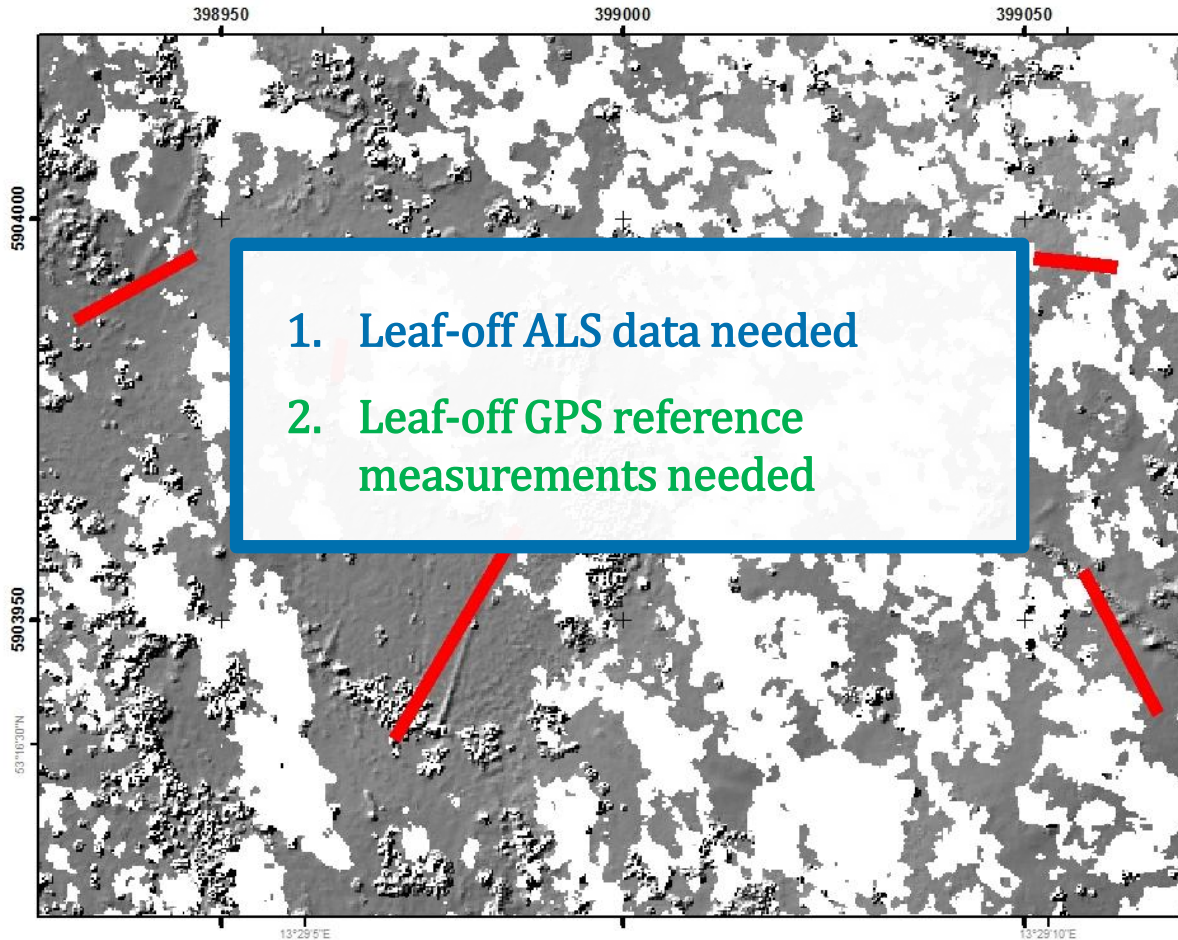
DSM created from

Leaf-on

data and from

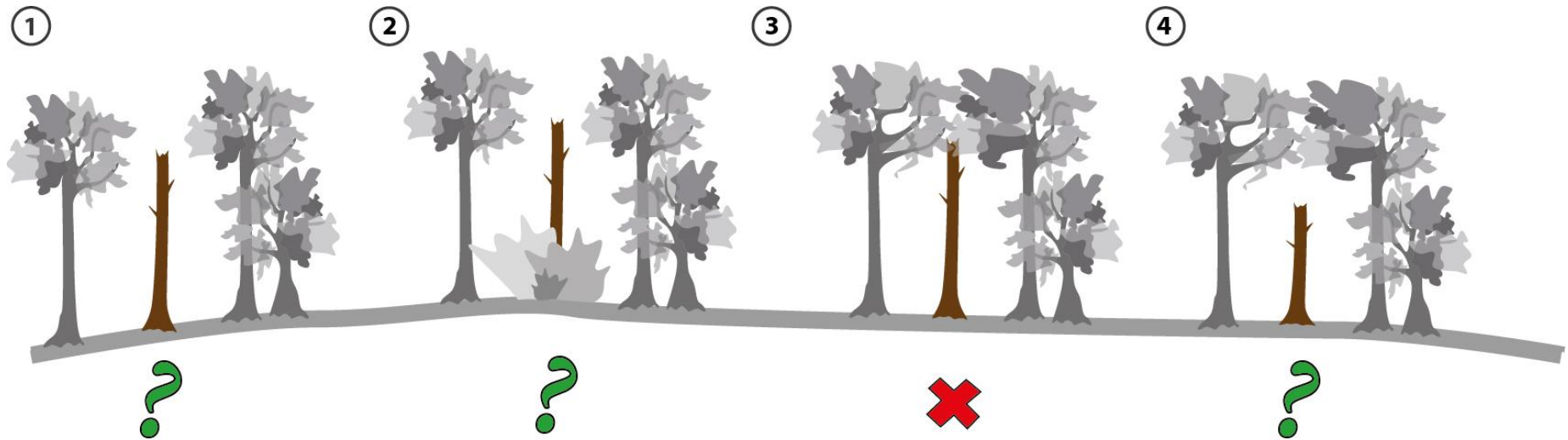
all echoes where

$dZ_{DTM} \leq 2m$



 GPS measured fallen trees (diameter > 30 cm)

Standing dead trees

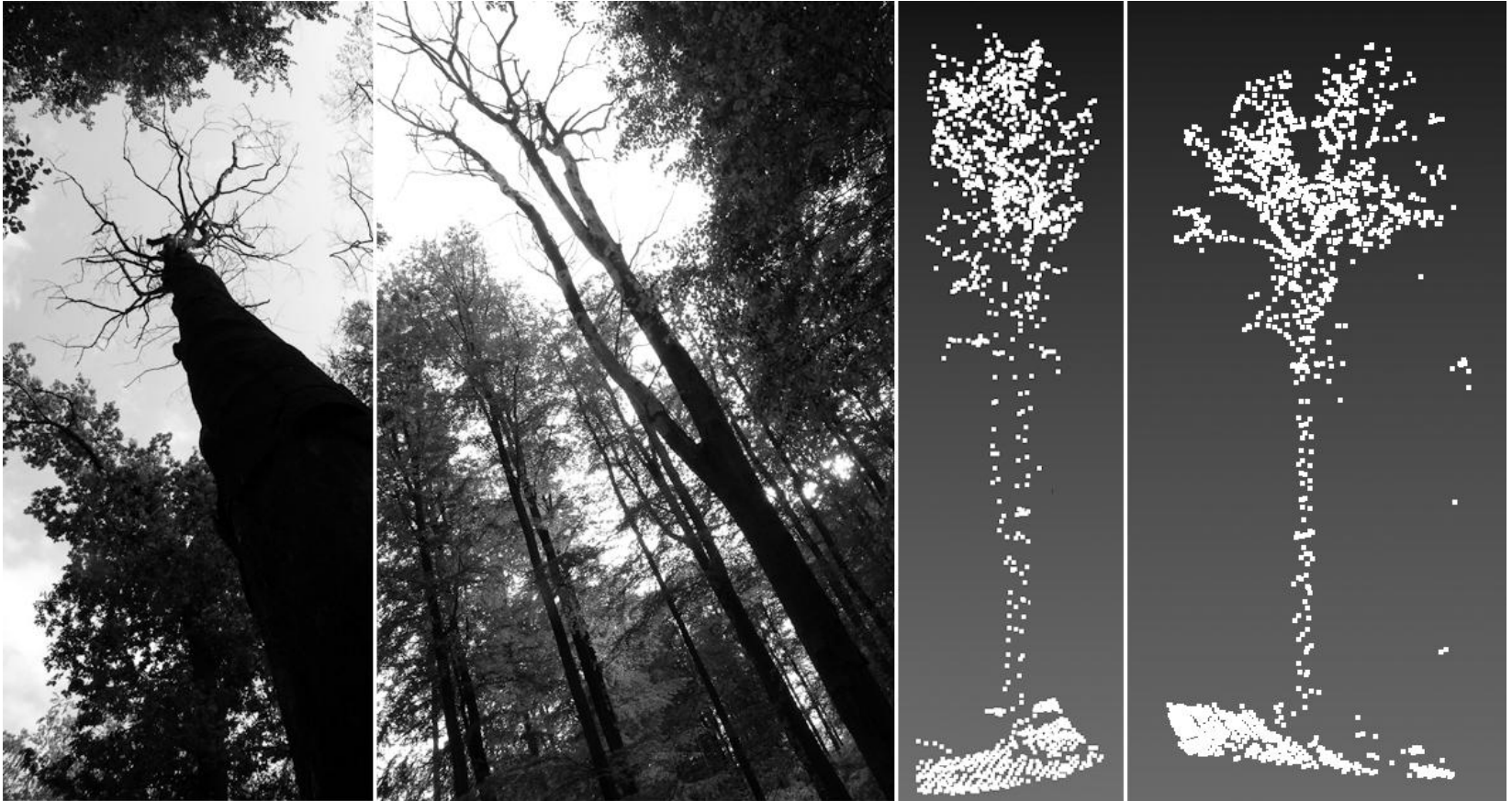


Dead tree (with or without crown) and

1. No canopy cover
2. No canopy cover and significant amount of under storey (shrub layer)
3. Healthy trees growing into and over it
4. Healthy trees growing over, but not into it

Standing dead trees

- Example from the point cloud



Thank you for your attention!

■ Concluding remarks

- ALS is an already accepted method for derivation of forest metrics
- Potential for FFH-monitoring in forest / landscape ecology is demonstrated
- Collaboration between ecology and Earth observation is highly relevant

■ Literature

- Doneus et al. (2008): M. Doneus, C. Briese, M. Fera, M. Janner: *Archaeological prospection of forested areas using full-waveform airborne laser scanning*"; Journal of Archaeological Science, **35** (2008), 4; 882 - 893.
- Eysn et al. (2011): L. Eysn, M. Hollaus, K. Schadauer and A. Roncat: *Crown coverage determination based on ALS data*, SilviLaser 2011, Hobart, Tasmania.
- Hollaus et al. (2010): M. Hollaus, G. Mandlbürger, N. Pfeifer and W. Mücke: Land cover dependent derivation of digital surface models from airborne laser scanning data, *Int. Archives of Photogrammetry, Remote Sensing and the Spatial Information Sciences. PCV 2010, Paris, France*. 2010, Vol. 39(3), 6.
- Höfle et al. (2009): B. Höfle, W. Mücke, M. Dutter, M. Rutzinger and P. Dorninger: *Detection of building regions using airborne LiDAR – a new combination of raster and point cloud based GIS methods*, GI_Forum, AGIT 2009, Salzburg.
- Mücke (2008): W. Mücke, *Improved DTM generation based on full-waveform airborne laser scanning data*, Diploma thesis, 2008.
- Mücke et al. (2010): W. Mücke, M. Hollaus and M. Prinz, *Derivation of 3D landscape metrics from airborne laser scanning data*, SilviLaser 2010, Freiburg, Germany.
- Wagner et al. (2006): W. Wagner, A. Ulrich, V. Ducic, T. Melzer and N. Studnicka: *Gaussian decomposition and calibration of a novel small-footprint full-waveform digitising airborne laser scanner*, ISPRS Journal of Photogrammetry & Remote Sensing 60(2): 100-112.
- Nagel (2001): J. Nagel, Skriptum Waldmessenlehre, 2001.